

GOVERNMENT OF RAS AL KHAIMAH RAK PORTS







Document Owner: Harbour Master, RAK Ports

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GENERAL INTRODUCTION

This document details Procedures and Policies relating to and the issue and management of RAK Ports Pilotage Exemption Certificates (PEC). A bona fide Master or First Mate may apply for and be issued with PEC within the limits as defined in the 'Pilotage Directions' and as stipulated in Section One of these PEC Regulations.

PILOTAGE DIRECTIONS

The PEC Regulations should be read in conjunction with the 'Pilotage Directions' and 'Pilotage Service'. Please read the Pilotage Directions for an overview on pilotage requirements at: http://www.rakports.ae/marine/Pilotage-Directions.pdf

Please read the Pilotage Service for information on pilot boarding & landing arrangements, communications and other relevant information at: http://www.rakports.ae/marine/Pilotage-Service.pdf

CONTACT PORT

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WEBSITE OF THE PORT

www.rakports.ae

WEBSITE OF THIS DOCUMENT

http://www.rakports.ae/marine/PEC-Regulations.pdf

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DISTRIBUTION

The 'PEC Regulations' for RAK Ports will be distributed as follows:

One copy will be posted on the company website and the following will be notified when there are any changes or amendments:

- 1. Harbour Master
- 2. General Manager
- HSEQ Manager
- 4. All Ports' Marine and Operations Managers
- 5. Deputy Harbour Master
- 6. Pilots

One PDF copy shall be filed in the Integrated Management System as an internal Document.

AMENDMENTS

Proposed amendments are to be sent to the document owner, Harbour Master, who will maintain a record of changes in accordance with the Control of Documents and Records Procedure.

DOCUMENTS AND RECORDS

The definition of documents and records is defined below:

- <u>Documents</u>: Documents may be in any form or type of medium such as paper, magnetic, electronic, photos and templates. They are designed to capture information on activities or results.
- <u>Records</u>: Records provide evidence that activities have been performed or results have been achieved. They always record the past.

REFERENCE DOCUMENTS
Document Title
IMO Resolutions and Guidelines
SOLAS Conventions
Port Marine Safety Code (PMSC)
International Maritime Pilots' Association Publications
RAK Ports Regulations & RAK Ports Marine Guidelines
RAK Ports Pilotage Directions & RAK Ports Towage Guidelines

RAK PORTS INTEGRATED MANAGEMENT SYSTEM



SECTION ONE

Introduction

Aim

- The aim of this document is to provide guidance to applicants on the requirements and process of applying for and maintaining a Pilot Exemption Certificate (PEC).
 The PEC aims to provide assurance that an applicant has the required local knowledge and can demonstrate the ability to safely navigate within the Compulsory Pilotage Area.
- 2. Note to Applicants:

Any breaches of the port procedures or actions taken that jeopardise the safety of navigation or pose a risk to channel integrity or port infrastructure will result in suspension or withdrawal of a PEC.

Scope

- 3. RAK Ports Competent Harbour Authority (hereinafter referred to as the CHA), in accordance with Article (5) of RAK Ports Regulations and as specified in the 'Pilotage directions' may grant to a person who is the bona fide Master or First Mate of a ship a Pilotage Exemption Certificate, provided he/she satisfies the following criteria:
 - a. Skill, experience and local knowledge are sufficient for him/her to be capable of piloting the ship of which he/she is Master or First Mate.
 - b. He/She has sufficient knowledge of English for that purpose.
- 4. Pilotage Exemption Certificates (PECs) for RAK Ports are managed by the Harbour Master. The procedures relating to obtaining and upkeep of PECs are detailed in each Section.

PEC Policy

- The Harbour Master may, upon application, grant a Pilotage Exemption Certificate (as stated in the PEC Application Form) to the Bona Fide Master or First Mate of a ship, provided that He or She:
 - a. Passes the PEC exam before the Harbour Master and/or appropriate representative and Senior RAK Ports Pilot.
 - b. Passes a check trip under the observation of Deputy Harbour Master or a Senior RAK Ports Pilot.
 - c. Satisfies the prerequisites as detailed in SECTION TWO.

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- 2. PEC holders and their employers shall be required to enter into a formal written agreement which states the terms of use of the certificate. See Annex 5 and 6.
- No PEC will remain in force for more than one year from the date on which it was granted, but PEC's will be renewed annually following a successful application for renewal has been made. The procedure for renewal of the PEC is detailed in SECTION FIVE.
- 4. The holder of a PEC may apply to have his certificate endorsed with additional vessels on which he/she may act as the PEC holder. The procedure for adding additional vessels is detailed in SECTION FOUR.
- 5. Other than in cases of emergency, Pilot Exemption Certificates do not entitle the holder to manoeuvre using the assistance of tugs. The holder of a PEC may apply to the Harbour Master to have his/her certificate endorsed to allow them to use tugs to assist manoeuvring. The procedure for adding a tug endorsement is contained in SECTION SIX. In all other cases, where a tug is used, a RAK Ports Pilot will be required.
- 6. PEC may be suspended or revoked if the holder is found to have performed an act of negligence, incompetence or misconduct and / or ceases to hold the qualifications necessary to act as bona fide Master or First Mate. Before doing so, prior written warning of the suspension or revocation will be given.
- 7. A PEC holder may be compelled to take a Pilot, if for any reason, it is deemed their vessel may be a potential hazard to safe navigation.
- 8. A list of the PEC holders will be kept at the relevant Port Control Centre. It is updated at the time of a certificate being issued or endorsed with an additional vessel, and is reviewed annually.
- 9. Any vessel under pilotage in RAK Ports Compulsory Pilotage Area, which is under pilotage of a Master or First Mate holding a PEC, shall be subject to a reasonable charge for each arrival, shifting or sailing.
- 10. Charges relating to Pilotage Exemption Certificates are published annually in the RAK Ports Tariff, which is available to download from the RAK Ports website: http://www.rakports.ae/the-ports

Definitions and Interpretations

- 11. In these Directions the following words and phrases have the following meanings (and cognate expressions shall be construed accordingly):
 - a. "Length overall (LOA)" means the maximum length of a vessel, including overhanging structure, cargo or equipment, and if a tug and tow, the combination of the tug and the object(s) towed but not the length of the towing medium.

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- b. "Tow or Composite Unit" the term "tow" or "composite unit" denotes a combination of one or more towing power-driven vessels (tugs) and one or more vessels being towed, either in a line-up behind or alongside, which have either no propulsion machinery at all or no operational propulsion machinery, or which are restricted in their ability to manoeuvre. Power-driven pleasure craft towing other pleasure craft shall not be deemed "power-driven vessels when towing" in terms of the International Regulations for Preventing Collisions at Sea, 1972, as amended.
- c. "Pilotage Exemption Certificate (PEC)" means a certificate issued by RAK Ports to a vessel to a bona fide master or first mate exempting a vessel from compulsory pilotage.
- d. "Applicant" means a Master or First Mate applying for a PEC.
- e. "Holder" means the holder of a valid PEC certificate.
- f. "Barge" includes dumb vessels, including but not limited to: barge, lighters, crane barges, engineering barges, pontoons, dredge barges but exclude any power-driven vessels.
- g. "Harbour Master" means a person appointed by RAK Ports to be a Harbour Master and includes the deputies and assistants of a person so appointed.
- h. "Master" means a ship officer who is competent and licensed to command and duly assigned by the ship owner to account for a ship.
- i. "Pilot" means a person authorised under Article (2) of the RAK Ports Regulations to guide ships and be Master's advisor within the Port.
- j. "Ship" means any craft howsoever propelled used or capable of being used as a means of transportation on the water, includes tugs with tows, where the LOA is measured between the bow of the towing vessel to stern of the last vessel towed.

Notification, Arrival and Communications

- 12. Please refer to relevant section of 'General Port Marine Information', 'Port Control Procedures' and 'Port Control Services' to know more about procedures on notification, arrival and communications, and other relevant information of each port, at:
 - http://www.rakports.ae/marine/General-Information.pdf
 - http://www.rakports.ae/marine/Portcontrol-Procedures.pdf
 - http://www.rakports.ae/marine/Portcontrol-Services.pdf

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SECTION TWO

PEC Application Procedure

Initial application

- 1. Upon initial application, the Harbour Master's Office will send a confirmation letter to the applicant/agent. Candidates are welcome to discuss the application process with the Deputy Harbour Master at this time.
- Please contact Marine Department, Saqr Port Authority, prior to examination to discuss the procedures and to arrange a meeting with the Deputy Harbour Master:

Tel: +971 7 205 6164

Email: spatower@rakports.ae

Minimum requirements

- 3. Prior to requesting an examination, the following minimum requirements must be completed prior to the date of examination:
 - a. A minimum of 4 inward bound and 4 outward bound Qualifying trips.
 - b. Tug Familiarisation (If Tug Endorsement required only).
 - c. Port Control visit / familiarisation.
 - d. Check trip with RAK Ports pilot.

Conditions and qualifications

- 4. Each PEC Applicant/Holder must:
 - a. Hold valid Certificate of Competency.
 - b. Be the Master or First Mate of the Vessel which he will be piloting within the Port.
 - c. Provide a medical fitness testifying to his physical fitness to carry out his duties as Master or First Mate.

Documents required

- 5. Each Applicant must submit following documents required for issuance of PEC certificate with the application form issued by the Authority:
 - a. One copy of valid Certificate of Competency.
 - b. Copy of the Vessel's Certificate of Registry.
 - c. Seaman's book or other proof of sea service.



- d. Proof of Identity.
- e. Copy of current Medical fitness certificate.
- f. Letter of suitability from employer to state competence and rank.
- g. Evidence of on board familiarisation in the rank in accordance with the vessels SMS.
- h. PEC Application Form (Annex 1).
- i. PEC Applicant Tripping Log (Annex 2).
- j. Tug Familiarisation for PEC (Annex 3).
- k. Port Control Familiarisation for PEC (Annex 4).
- I. Photographs (2 x passport size)
- m. A letter from the ship's marine agent confirming the applicant's request and undertaking to pay the fee.

Validity of PEC

- 6. Validity of PEC is for 12 consecutive calendar months from the date of issue or until the date of expiry of the Certificate of Competency, whichever is the sooner.
- 7. Exempted Master and First Mate who sign-off and sign-on again from / to the vessel(s) as specified in his PEC Certificate, will continue to be exempted from the requirement to take a Pilot until the expiry date of his PEC.
- 8. An application to the Issuing Authority (CHA) for renewal of the existing PEC can be applied.
- 9. The CHA, at its discretion, reserves the right to suspend or withdraw a PEC whenever it deems necessary to ensure safety or security within the port.

Guidance on requirements

10. Qualifying trips prior to issue of new PEC

A candidate must produce records endorsed by the Master or Senior Master of the vessel, or a RAK Ports Pilot, showing that he has made at least 4 inward and 4 outward voyages within the 12-month period prior to his application. The following conditions apply:

- a. All 8 trips (4 inward & 4 outward) must not be performed in a one-month period.
- b. It is preferable 3 of the 8 trips to be in darkness.
- c. At least one such trips (trip in darkness) shall preferably be inward bound.
- d. A RAK Ports pilot must attend one arrival trip and report issued.

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11. Tug familiarisation

Candidates must undertake Tug familiarisation with port tugs, if Tug Endorsement required (to be completed in the 6 months prior to application).

12. Port control visit

Candidates must visit Port Control Centre for familiarisation. Harbour Master's office should be contacted, as per above to arrange a suitable time (to be completed in the 6 months prior to application).

13. Check trip

All candidates must complete at least one of his/her qualifying trips with a RAK Ports Pilot Embarked. This trip will be on an inward passage and the candidate will be expected to manoeuvre the vessel unaided. Harbour Master's office should be contacted, as per above to arrange a suitable time (to be completed in the 6 months prior to application).

14. Medical certificate

Medical fitness certificates must be obtained from a medical practitioner approved by an issuing authority.

15. Certificate of Competency / CEC / Endorsement

The Candidate must hold a certificate of competency from an Authority recognised by the International Maritime Organisation entitling him/her to act as Master or First Mate of such a ship. Where applicable, a Certificate of Equivalent Competency (CEC) or Endorsement from the Flag State of such ship is required.

16. Letter of suitability from employer

The Candidate must produce written assurance satisfactory to the Authority from the owner of the ship or ships that they have found him to be competent. This is also to be supported by details on the time he/she has been with the company and has been Master or First Mate of the ship(s).

17. Evidence of on board familiarisation

Provide evidence from vessel's SMS of familiarisation on board in the rank of Master or Mate.

PEC examination

- 18. PECs are granted subject to the Candidate demonstrating that they have adequate levels of skill, training, local knowledge, experience and command of the English Language.
 - a. Skill is assessed by verifying the Candidate is a suitably qualified Master or First Mate, along with a check trip during which a RAK Ports Pilot will assess



- the Candidate's ability to manoeuvre their vessel and conduct it safely and effectively during the act of pilotage.
- b. Experience is verified by prescribing a minimum number of qualifying trips prior to examination, and a check on the Candidate's on-board familiarisation.
- c. Local knowledge and command of the English language are assessed during an oral examination.
- 19. PEC Examinations are conducted at the Marine Department, Saqr Port Authority. To request an examination date, please submit all documentation together as noted above giving at least two weeks notice. Marine Department, Saqr Port Authority, should be contacted two working days prior to the exam date to confirm attendance and to discuss the procedures.
- 20. Candidates should bring **original** documentation referred to above to the exam for inspection. Failure to do so will result in a delay to certificate validation.
- 21. The examination panel consists of the Harbour Master and/or his Deputy and a RAK Ports Pilot. Candidates will be asked questions by the panel based upon the PEC Exam Syllabus in order to ascertain levels of marine operations and local knowledge.

PEC exam syllabus

22. An applicant for PEC must be able to demonstrate satisfactory knowledge on the following topics:

a. General knowledge

- (1) International Regulations for Preventing Collisions at Sea, 1972 as amended;
- (2) Bridge equipment and navigational aids;
- (3) Use of radar and other electronic devices, their limitations and capabilities as navigation and collision avoidance aids;
- (4) Factors affecting ship performance such as wind, current, tide, channel configuration, water depth, bottom, bank and ship interaction including squat;
- (5) IMO Standard Marine Communication Phrases;
- (6) IMO Code for the investigation of marine casualties and incidents;
- (7) Ability to prepare a berth to berth passage plan in accordance with Regulation 34 of Chapter V of SOLAS, IMO Resolution A.893 (21) Guidelines for voyage planning.

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b. Vessel specific

- (1) Own Vessel(s) details, dimensions etc.
- (2) Own Vessel handling characteristics.

c. RAK Ports specific knowledge

- (1) The Limits of the relevant RAK Ports and its pilotage area.
- (2) RAK Ports Regulations
- (3) RAK Ports Pilotage Directions
- (4) RAK Ports Towage Guidelines
- (5) RAK Ports Marine Guidelines
- (6) RAK Ports Ruling Depth & Under Keel Clearances
- (7) RAK Ports Marine Emergency Response Plan
- (8) RAK Ports Marine Pollution Response Plan
- (9) RAK Ports Port Control Procedures
- (10) Pipelines and Restricted Zones.
- (11) Availability of tugs and their use including the capability of tugs.
- (12) Width of Channels.
- (13) Names and characteristics of navigational marks, buoys, and their light.
- (14) Berth Characteristics.
- (15) Traffic movement patterns and critical areas of navigation.
- (16) Anchorages, their names, positions, limits and usage.
- (17) Current Notices to Mariners.
- (18) Tidal ranges.
- (19) Incident Reporting Procedures.
- (20) Speed limits.
- (21) Coastal Features.
- (22) Responsibility as PEC holder to report to Harbour Master.
- (23) Any other relevant knowledge considered necessary by the examination panel.

Responsibilities of PEC holders and their employers

- 23. Upon successful completion of the prerequisites and upon passing the PEC exam, a candidate will be required to sign a letter of agreement which states the terms and conditions of the PEC as well as responsibilities the holder must undertake.
- 24. Similarly, a representative on behalf of the owner/manager of a vessel which employs the PEC holders must also sign a letter of agreement.

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25. The agreements are contained in Annex 5 and 6.

Reporting Collisions, Groundings or Close Quarter Situations

- 26. A Master or First Mate holding a PEC whose vessel has touched the ground or has been in collision or a close quarter situation with any other ship or any fixed or floating object in the waters, for which he holds such a certificate, shall as soon as practicable report the occurrence to the relevant Port Control and complete RAK Ports **Marine Report Form (MRF)** and submit to the CHA via marine agent within 24 hours or at the earliest opportunity.
- 27. Additionally, in accordance with the port's Marine Safety Management System, PEC holders are required to report to the CHA any concerns they may have regarding safety of navigation in the Compulsory Pilotage Area.



SECTION THREE **Towage PECs**

Scope

1. This section applies to the movement of barges, pontoons or similar (the object) with a tug towing, pushing or rigidly connected as a composite unit (tow). This section does not apply to harbour towage where tugs assist with the manoeuvring of vessels for the purpose of berthing, unberthing or a dead ship (cold) move with a pilot embarked.

Maximum Length and Restrictions

- 2. The maximum permissible combined length for which a towage PEC can be issued is normally 100 meters.
- 3. The exempt Master shall only have one vessel connected to the object. A second vessel may assist the move by leaning on but not connecting to the object.
- 4. The exempt Master is to take positive control of the assisting vessel and shall have an agreed procedure including agreed method and phraseology for communication. The exempt Master has overall responsibility for the safe conduct, planning and execution of the move.
- 5. The assisting vessel shall not pass ahead of the tug and tow without direction and the master of the assisting vessel shall assess the risk of passing ahead.
- 6. The exempt Master who holds a towage PEC may undertake a tow of objects up to the maximum combined length stated on his PEC. There is no requirement for objects to be named on the PEC.

Towage PEC Syllabus

- 7. In addition to the PEC Exam syllabus at SECTION THREE:
 - Ship handling whilst towing.
 - b. The limitations and benefits of different configurations such as towing astern and pushing ahead.
 - c. The International Regulations for the Prevention of Collision at Sea requirements for lights and shapes for vessels engaged in towing.
 - d. The risks posed to vessels including girting and collision.



SECTION FOUR Additional Vessels

Procedure for Adding Additional Vessels to an Existing Certificate

- 1. The holder of a PEC may apply to have his certificate endorsed with additional vessels on which he/she may act as the PEC holder.
- 2. A certificate may only be endorsed with additional vessels where the PEC holder demonstrates that:
 - a. At the time of original application:
 - (1) Evidence is provided from the vessel(s) SMS of valid familiarisation on board in the appropriate rank, **or**
 - (2) Where the additional vessel is an identical sister ship a letter from the vessels operator stating they consider the candidate to be suitably familiar with the sister vessel.
 - b. Where the request is made after the original issue of a certificate:
 - (1) Provide evidence from the vessel's SMS of familiarisation on board in the rank of Bona fide Master or First mate.
 - (2) Undertake a check trip with a RAK Ports Pilot, normally on an inward passage, during which the candidate will be expected to demonstrate that they are competent to manoeuvre the vessel.
- In ALL cases, only vessels operated by the same company as named on the Document of Compliance on the PEC holder's certificate will be added.

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SECTION FIVE

Renewal of Certificates

Procedure for Continuous Renewal of PECs

- Pilot Exemption Certificates shall not continue in force for more than one year unless application for renewal has been made no less than one month prior to the date of expiry.
- 2. Certificates shall not be renewed without re-examination unless the holder of a Certificate has completed the Renewal Application, Annex 7, detailing that he/she has:
 - a. Piloted a vessel at least 8 times in the preceding 12 months within the specific Pilotage Area.
 - (1) All 8 trips (4 inward & 4 outward) must not be performed in a one-month period.
 - (2) It is preferable for 3 of the 8 trips to be in darkness.
 - (3) At least one such trips (trips in darkness) shall preferably be inward bound.
 - b. PEC holders are required to visit the Port Control Centre at least once every six months to appraise themselves of any changes to marine operations and procedures. This requirement will be checked at the time of applying for renewal.

Revalidation of PEC Holders

- 3. Each PEC holder will require their certificate to be revalidated every 5 years. Such revalidation will consist of a minimum of:
 - a. An interview with the Harbour Master in which questions from the PEC syllabus may be asked.
 - b. A check trip with a RAK Ports Pilot.
 - c. A Port Control Centre visit within the 12 months prior to the date of revalidation to appraise him/herself of any changes to marine operations and procedures.
- 4. Should a PEC be suspended by the Harbour Master following an act of negligence, incompetence or misconduct, re-assessment may be required prior to the suspension being lifted. Such assessments shall consist of a minimum of a check trip with a RAK Ports Pilot and an interview or re-examination with the Harbour Master or his Deputy and a RAK Ports Pilot if re-sitting the examination.

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SECTION SIX

Towage Endorsements

Procedure for Towage Endorsements

- 1. The holder of a PEC or an applicant, where they are the Master, may apply to the Harbour Master to have his/her certificate endorsed to permit them to use tugs to assist manoeuvring.
 - a. The following must be undertaken by the PEC holder prior to an endorsement being added to their certificate:
 - The PEC holder must undertake two berthing manoeuvres on a ship referred to on their certificate with a tug made fast, under the supervision of a RAK Ports Pilot. Such manoeuvres may form part of the qualifying trips referred to in Annex 1.
 - b. To retain a towage endorsement at the time of renewal of the PEC, the following must have been undertaken:
 - Two berthing manoeuvres with tug assistance in the previous 12 months, one of which was in the 6-month period prior to renewal date.
- 2. The CHA may consider alternatives to the above requirements where they are presented by vessel owners/operators and meet the essential criteria of safe tug operations. Such alternatives should include but not be limited to:
 - a. Company training on tug use which may include appropriate simulation training (certification, proof of attendance etc. required).
 - b. Port Passage Plans incorporating the use of tugs.

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RAK PORTS PEC APPLICATION FORM

RAK PORTS Doc. No. RP MD 008-01 Rev. Orig. Issue Date: 23-08-2017

Online form available at: http://www.rakports.ae/marine.php

Port name		SPA		AJZP		R	RAKP		RAKMO	CFZ		<u> </u>
APPLICATON T	TYPE	Towage	e Endor	sed (Mast	er only) / N	iormal	Pilotage	(please d	delete as ne	cessary	<i>(</i>)	
			A	PPLICAN	T DETAIL:	S						
Forename:					Position / Rank:							
Surname:					Vessel:							
Nationality:					Doc Company: (DoC: Document of Compliance)							
Marine Agent: (Ship Agent's under	rtaking letter to	o pay the fee to	be submi	tted)	Contact Name:							
Other PECs held												
Additional Vesse	Additional Vessel Required:											
	APPLICANT'S PERSONAL DETAILS											
					Date of B	irth:						
				Height (metres):								
Mobile:					Email:							
			APPL	ICANT'S F	PRE-REQU	JISITES	S					
Tripping Log	Trip In:	Out:		Trippi	ng log atta	ched		Yes		No	0	
Date – Tug fami	iliarisation:				Tug Name:							
Date – Check tri	ip:				Pilot Name:							
Date – Visit to P	ort Control	1:			Port Controller:							
			DOCU	MENTS TO	BE ATT	CHED)					
Medical Cert ex	piry date:				Copy atta	ached		Yes		No	٥	
Competency Ce	ert expiry da	ate:			Copy atta	ached		Yes		No	o	
Employer's lette	r of suitabil	lity:			Signed b Position	y /						
On-board familia	arisation:							Yes		No	٥	
I (name in BLOCk application are co			owledge	and belief.	declare that the particulars entered in the					e		
		Signature m			imen Signa e the box pro							
Please note all original	I paperwork sho	ould be presented	to the Harb	our Master at t	he time of exan	n. Failure t	to do so will re	sult in dela	ay to certifica	te valida	tion.	_





RAK PORTS PEC APPLICANT TRIPPING LOG

Online form available at: http://www.rakports.ae/marine.php

RAK PORTS Doc. No. RP MD 008-02 Rev. Orig. Issue Date: 23-08-2017

Port name	•	SPA	□ A.	JZP [F	RAKP	□ RA	KMCFZ	
			Movem	ent Type	Port	/ Berth			
			Arrival	Departure	From	То			
			Applicant N	ame (print):					
		Applican	t Signature:						
			Master / S	Ship Stamp:					



RAK
PORTS

RAK PORTS TUG FAMILIARISATION FOR PEC

RAK PORTS Doc. No. RP MD 008-03 Rev. Orig. Issue Date: 23-08-2017

Port name	SPA		AJZP	,		RA	KP		R	AKM	CFZ		
			APPLICAN	T DET	AILS								
Forename:				Positi	on / F	Rank:							
Surname:				Vesse): :								
Tug Name:				Date a	atten	ded:							
I agree the above name port tug.	- ed applicant has	s comp	əleted a Tug l	Familia	risati	on trip w	vith me	while I	l was	s the I	master	of a	ıbove
Signed:													
Position:													
Name:													
		T	OWAGE EN	NDOR	SEM	ENT							
			APPLICAN	T DET	AILS								
Forename:				Posit	on / I	Rank:		Ma	ster				
Surname:				Vess	el:								
Towage Act attended:			Yes		No		Tug N	ame:					
Date attended:				Vess	el atte	ended:							
I agree the above name vessel during manoeuv		s atten	ided a Towa	ge Act	with r	ne while	∍ I was ∈	engag	jed v	with al	bove n	nenti	ioned
Signed:													
Position:													
Name:													





RAK PORTS PORT CONTROL FAMILIARISATION FOR PEC

RAK PORTS Doc. No. RP MD 008-04

Rev. Orig. Issue Date: 23-08-2017

Port name	SPA		AJZP		RAKP	RAKMCFZ	
		AP	PLICANT	DETAILS			
Forename:			F	Position / R	ank:		
Surname:			V	essel:			
			•				
Port Control Details:							
Port Controller:			ا	Pilotage Ai	rea / Port:	 	
Date & Time of Visit: _			I	Duration of	f Visit:		
I agree the above name familiarisation purposes					e Area		for
Signed:							
Position:							





RAK PORTS

AGREEMENT BETWEEN RAK PORTS AND THE OWNER / MANAGER OF VESSELS THAT PEC HOLDERS ARE EMPLOYED ON

Port	name		SPA		AJZP		RAKP		RAKMCFZ	
					Overview	/				
1.			•					•	plies, and therefo	
	•				•		J		to any person wh	
	Bona	Fide Mast	ter or First Mat	e of ar	ny ship provided	l it is s	atisfied that the	eir skill	, experience and	local
		•					,		ey are Master or	
		,			ŕ			nd pro	ovided also that	in the
	intere	ests of safe	ty that their kno		ge of English is s					
	Obligation of Vessels' Owners / Managers									
2.						st Mat	e of which for th	e time	being holds a Pil	otage
	Exen	nption Cert	ificate for RAK	Ports,	shall ensure:					
	a.		_				•	•	to such vessels	
									s, Navigational S	•
		•	ŭ	•				ety Ma	anagement Syste	m, or
		=			Harbour Master		•			
	b.		· ·						First Mate when a	Ŭ
					e conduct of the	e vess	el within the Po	ort Lim	its without havin	g any
			es or distractior							
	C.								me vessels carry	
						•			ot have articles	
			•	-	•				rst Mate. Where	
			•		•				d as PEC holder	
									irst Mate at that ti	
					•		command of tha	t vess	el who would take	∍ over
		in the eve	nt of the Maste	r beco	ming indisposed	d. 			T	
Signe	ed on l	behalf of F	RAK Ports:						Date:	
J										
Ciana	مم ام	hahalf af F	OoC Compony	/ 011/01					Data	
Signe	a on	u c nan oi L	OoC Company	/ OWING	JI.				Date:	
DoC	Comp	any name	/ Vessel owne	∍r:					Date:	

RAK PORTS INTEGRATED MANAGEMENT SYSTEM





RAK PORTS AGREEMENT BETWEEN RAK PORTS AND PEC HOLDER

Port name	SPA	AJZP		RAKP	RAKMCFZ	
		Overview	,			

1. RAK Ports Pilotage Directions indicate to which vessels compulsory Pilotage applies, and therefore on which vessel a PEC holder or RAK Ports Pilot is required.

Conditions of Use of PECs Issued by RAK Ports

- 2. Any Master or First Mate in possession of a Pilotage Exemption Certificate undertakes to be bound by the following conditions:
 - a. PEC Usage: A PEC holder must be the bona fide Master or First Mate of the vessel. Some vessels carry more than one Master or First Mate on board at any one time, and often do not have articles which establish unambiguously that a particular officer is the Master or First Mate. You shall only be permitted to use your pilotage exemption certificate when sailing as Master in command, or de facto First Mate of the vessel; that is the person second in command of that vessel who would take over in the event of the master becoming indisposed.
 - b. **Port Regulations:** As PEC holder, you agree to be bound by any directions given, guidelines, notices to mariners or safety notices, and the procedures contained within RAK Ports marine safety management systems, and to ensure that any updates as promulgated are taken account of.
 - c. Bridge Management: As PEC holder, you shall ensure you are adequately rested and fit for duty, and that you do not undertake any other duties other than those concerned with the safe conduct of the vessel. The bridge should be adequately manned to account for the PEC holder undertaking the conduct of the vessel.
 - d. Accident or Damage: As PEC holder, if any accident or damage has happened to or been caused by a vessel you are responsible for Piloting whilst within the limits of the Port you shall as soon as practicable report the facts in writing to the Harbour Master in addition to preparation of RAK Ports 'Marine Report Form' (MRF).
 - e. **Defects:** As PEC holder, you will report any defect that affects your vessel's navigation, manoeuvring, or mooring equipment.

RAK PORTS INTEGRATED MANAGEMENT SYSTEM Issue Date: 23-08-2017



ANNEX – 6 (CONT'D...)

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RAK PORTS AGREEMENT BETWEEN RAK PORTS AND PEC HOLDER

Port name	SPA		AJZP		RAKP		RAKMCFZ			
f. Rep	orting observatio	ns: As Pi	EC holder, s	hould	you observe a	iny alt	teration in any of	f the		
-	channels, or that any buoys or beacons have been driven away, broken down, damaged, or									
are	out of place, or a	ny circum	stance affec	cting t	he safety of n	avigat	tion, or any dam	nage		
obse	erved to quays, fer	ders or a	ny other por	t equi	pment you sha	all, as	soon as practica	able,		
repo	rt the fact to the Po	ort Control								
g. Rep	orting of Incidents	s: Followir	ng any accid	ent or	near miss invo	lving y	our vessel, or th	at of		
anot	her vessel which y	ou have o	bserved, or	whene	ever requested	to do	so, you shall ma	ke a		
repo	rt in writing to the	e Harbou	r Master sta	ating	the facts of th	e aco	cident, near mis	s or		
obse	ervation.									
	Lo	ost Pilota	ge Exempti	on Ce	ertificate					
3. Any Cert	ificated Officer who	has lost	his Certifica	ate sh	all inform the H	Harbo	ur Master stating	the		
circumsta	ances under which	the Certif	icate was lo	st and	the Harbour N	/laste	may, if he think	s fit,		
issue to s	such Certificated Of	ficer a du	plicate certifi	cate.	Replacement c	ertifica	ates shall be cha	rged		
as per the	e RAK Ports Tariff.									
0'	K of DAK Doute						Data			
Signed on behal	T OF RAK PORTS:						Date:			
PEC Holder:							Date:			
DOC Company	nama / Vassal awa	or:					Data:			
DOC Company	name / Vessel own	⊡ 1.					Date:			

RAK PORTS INTEGRATED MANAGEMENT SYSTEM Issue Date: 23-08-2017





RAK PORTS PEC RENEWAL / REVALIDATION

RAK PORTS Doc. No. RP MD 008-05 Rev. Orig. Issue Date: 23-08-2017

Online form available at: http://www.rakports.ae/marine.php

Port name		SPA	1			AJZP			RA	KP		RA	KMCF	z	
APPLICATION T	TYPE			PE	C R	Renewal	/ Rev	alidati	on (plea	ase delet	e as nece	ssary)			
					API	PLICAN	T DET	AILS							
Forename:			_	_			Posit	tion / R	≀ank:	_		_	_		_
Surname:							Vess	el:							
PEC Number:							Ship	ping C	ompan	y:					
Marine Agent to (Ship Agent's under			e fee to	be subi	mittec	(t				_					
(,						NT'S PE	RSON	IAL D	ETAILS	S					
			_	_	_		Ema	il (Com	npany):						
							Ema	il (Pers	sonal):					_	
Mobile (Compar	ny):						Mobi	le (Pe	rsonal):	:					
				RE	ENE	WAL PR	E-RE	QUISI	TES						
Tripping Log	Trip In:	:	Out:			Trippir	ng log	attac	hed		Yes			No	
Date – Last Por	t Control	l Visit:				Towag	je End	orsem	ent		Yes			No	
Additional Vess (Subject to Approva		ı:													
				REVA	LID	ATION F	PRE-R	EQUIS	SITES						
Date – Last Por	t Control	l Visit:					Port	Contro	oller:		_				
Date – Interview	w with HN	M / DHM:					Com	peten	cy Cert	expiry	date:				
Date – Check T	rip:						Vess	sel:							
HM/ DHM Comr	ments:						·I								
			REP	ORTE	D IN	ICIDENT	гѕ то	BE D	ECLAF	RED					
	(P	Please subr	mit sur	pporting	g doc	cuments f	from Sh	nip Safe	ety Man	agemen	t System	1)			
Details:		<u></u>													
I (name in BLOCF application are co			my kno	owledg [,]	e and	d belief.				declare	e that the	partio	culars e	ntered	in the
		Signa	ature m			nt's Speci etely inside									
Please note all original p	paperwork sh	nould be prese	nted to th	he Harbou	ır Mast	er at the time	e of revali	idation. Fa	ailure to do	so will resu	ult in delay t	o certific	ate revalid	ation.	





RAK PORTS PEC HOLDER TRIPPING LOG

RAK PORTS Doc. No. RP MD 008-06 Rev. Orig.

Issue Date: 23-08-2017

Online form available at: http://www.rakports.ae/marine.php

Port name	SPA	AJ	IZP	l R	RAKP	□ RA	KMCFZ	
		Movem	ent Type	Port	/ Berth			
		Arrival	Departure	From	То			
		Applicant Na	ame (print):					
		Applicant	Signature:					
		Master / S	ship Stamp:					





RAK PORTS PEC FOR ADDITIONAL VESSEL

RAK PORTS Doc. No. RP MD 008-07 Rev. Orig. Issue Date: 23-08-2017

Port name	SPA		AJZP		RAK	(P		MCFZ		
			PEC HOLDE	R DETAIL	.S					
Forename:				Surname:						
PEC Number:				Position / Rank:						
Marine Agent to be (Ship Agent's undertak	e Invoiced ing letter to pay the	fee to be subm	nitted)							
Current Vessel/Ve	ssels PEC Held	I								
ADDITIONAL VESSELS										
Vessel Name						IMO I	Number:			
Familiarisation Atta	ached:	□ Yes	□ No	If no, why	/ ?					
Identical Sister Sh	ip?						Yes		No	
If the vessel is not	an identical sis	ter ship, plea	ase also pro	vide details	of the fol	lowing	:			
Tripping L	og (Annex 8)			• 1	No of trips	*	In	Out		
Date – Ch	eck Trip:			• F	Pilot Name	Э				
Vessel Name						I OMI	Number:			
Familiarisation Atta	ached:	□ Yes	□No	If no, why	/ ?					
Identical Sister Sh	ip?						Yes		No	
If the vessel is not	an identical sis	ter ship, plea	ase also pro	vide details	of the fol	lowing	:			
Tripping L	og (Annex 8)			• 1	No of trips	*	In	Out		
Date – Ch	eck Trip:			• F	Pilot Name	Э				
Harbour Master A	$oxed{Authorisation}_{-}$									
* Please refer to S	SECTION FIVE 1	or guidance								



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RAK PORTS CHECK PILOT'S OBSERVATIONS

RAK PORTS Doc. No. RP MD 008-08 Rev. Orig. Issue Date: 23-08-2017

				,							
Port name		SPA		AJZF)			RAKP		RAKMCFZ	
Reason for Ch	neck	Normal	l Pilotage	Towag	e Endo	rseme	ent	Date atter	nded		
				APPLICAN	IT DET	AILS					
Forename:					Surna	ame:					
Position / Rank h	held in	Ship				N	laste	r		First Mate	
				VESSEI	DET	AILS					
Vessel Name:		 			I OMI	No:			Flag		
LOA: (ship or combina	ation)	GT:			Vesse	еІ Тур	e:				
PILOT'S OBSERVATIONS											
Movement:	1 Arı	rival	□ Depa	arture		Day	Pilot	age		Night Pilotage	
							Yes			No	
				1	If no,	why?			•		
							Yes			No	
					If no,	specif	y:				
				-			Yes			No	
					If no,	specif	y:				
							Yes			No	
					Speci	fy:					
This Applicant ha	as bee	n assessed	in the Pilo	tage Area a	s show	n abo	ve ar	nd is consid	lered to	o (tick as appropr	iate):
□ have me	t the st	tandard for F	PEC		口	not h	ave r	net the star	ndard f	or PEC	
Check Pilot's Co	ommen ^f	ts:									
Signed:			-								
Position:											
Name:								Dated	:		
Note: The role of the interfere with the Ves	essel's ope	erations, and, o	depending on	n the circumsta	nces, ma	ay best l	be left	until completion	on of the	d the Applicant, this she piloting operation. Th	nould not ne Check



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PEC Application Checklist (Office Use Only)

КP	MP	008,	Rev.	Orig.
	PE	EC R	egula	tions

RAK PORTS Doc. No. RP MD 008-09

Rev. Orig. Issue Date: 23-08-2017

Port name	SPA		AJZP		R.A	KP		□ RA	KMCFZ	<u>, </u>	
		APF	PLICANT	CHECKLIS	ST T						
Qualification being sou	ght clearly indic	ated						No		Yes	
Personal details comple	eted							No		Yes	
Pilot Observation Repo	rt provided							No		Yes	
Check trip verified								No		Yes	
Signature within nomina	ated box							No		Yes	
Valid medical fitness ce	ertificate provide	∍d						No		Yes	
				Saqr Port				Yes		No	
				RAK Mari Stevin Ro as approp	ck (dele	y / ete		Yes		No	
				Ras Al Kh	naimah F	Port		Yes		No	
				Al Jazeera	a Port			Yes		No	
		EX	AMINATI	ON RESUL	.TS						
Date		Date		Pass/Fail		Exa Initia	mine al	r's 	Exam	Centre	
Written				 							
Oral			_	 							
Check Trips							<u></u>				
	H/	ARBOUR	MASTER	R'S CERTIF	CATIO	N					
I certify I have fully exa	mined	Mr./Capt.									
and find that he/she por necessary qualifications him/her to a		Pilotage	Emption	Certificate							
for the Pilotage Area of	:										
to pilot		Mv.									
			Harbour	Master's S	ignatur	e					



ANNEX - 12 (Sample PEC)

PORTS	RAK PORTS PILOTAGE EXEMPTION CER	<u>TIFICATE</u>	Certificate No. Pilotage Area: Cert. Expiry on:	
Mr. / Capt.				
of (Resident Address)				
an Employee of (Name of	f Employer and Address)			
has been duly examined	and found fit by the Harbour Master / Senior Pilot in the Pil	otage Area of		
in pursuance of which thi	s Pilotage Exemption Certificate hereby granted to you th	nis day	in the year 20 to pilo	ot
Mv. (Vessel Name):				
IMO Number:	Flag:	Gross Tonnage:	LOA:	
In the Port of (Pilotage A	rea):			
This Certificate entitles	you to pilot above stated vessel in the specified Pilotage	e Area.		
			Capt. Michael I	Mage

RAK PORTS INTEGRATED MANAGEMENT SYSTEM

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